

Securities Industry Glossary

New York Institute of Finance

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Securities Industry Glossary

**Allan H. Pessin, Ronald D. Hyman, New
York Institute of Finance**

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The Securities Industry Glossary ,1985

Glossary of Business and Management Terms James Persaud,2021-06-13

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The Securities Industry Allan H. Pessin,Ronald D. Hyman,New York Institute of Finance,1980

Capital Markets Handbook John C. Burch,Bruce S. Foerster,2005-01-01

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Regulating the Security Industry Great Britain. National Audit Office,2008 The Security Industry Authority the body which licences security guards door supervisors and vehicle immobilisers has secured a high level of compliance by people working in the industry with the requirement to be licensed As at the end of May 2008 the Authority had issued over 248 000 licences and compliance is over 90 per cent Its efficiency has however been hampered by poor forecasting of licensing demand and costs and difficulties with the computerised systems procured to process licence applications When the Authority was created in 2003 the licence fee was set at 190 but it was costing the Authority 215 to process an application As a result the Authority needed an additional 17.4 million of public funding between 2004/05 and 2007/08 to carry out its work In the winter of 2005/06 the Authority s original system for producing licences was unable to cope with the large number of later than planned applications the Authority received In autumn 2007 the Authority s replacement system was not ready on time and a backlog of applications arose These two problems resulted in the Authority incurring additional costs of 1 million The Private Security Industry Act 2001 set up the Authority to regulate individuals but in a number of other countries the equivalent bodies also regulate businesses The NAO recommends that in addition to individuals all private security businesses should be registered with the Authority The SIA has already started a feasibility study to consider the compulsory registration of private security companies

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