

**Securities Regulation in
Korea: Problems and
Recommendations for
Feasible Reforms (Asian
Law Series)**

Shin, Young Moo

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Securities Regulations In Korea Problems

John O. Haley



Securities Regulations In Korea Problems:

Securities Regulations in Korea Young Moo Shin, 1983 Studies in the area of securities markets and securities regulatory laws are of vital importance to and greatly demanded by those in business and legal professions in any country In Korea however due to the general scarcity of accessible information and relevant literature there has until now been a total lack of such studies This book offers a comprehensive study of Securities Regulations of Korea in the context of her rapidly growing economy The first part of this volume sets forth the historical development of the Korean securities markets and shows how one developing nation the Republic of Korea has coped with her capital market promotion problems The second part discusses the present securities regulatory laws and their problems as compared with those of the United States and Japan In the last part recommendations for feasible reforms for the future are presented Finally an appendix is attached to update recent development in the Korean securities markets and regulation thereof Based on extensive research into both business and legal aspects of the Korean securities industry this volume also provides a comprehensive review of current securities laws and enforcement techniques in Korea as compared with those in the United States and Japan and as an analytical case study of Korea as a developing nation furnishes a reference point for other developing nations

The Limits of the Rule of Law in China Karen G. Turner, James V. Feinerman, R. Kent Guy, 2000-02-01 In *The Limits of the Rule of Law in China* fourteen authors from different academic disciplines reflect on questions that have troubled Chinese and Western scholars of jurisprudence since classical times Using data from the early 19th century through the contemporary period they analyze how tension between formal laws and discretionary judgment is discussed and manifested in the Chinese context The contributions cover a wide range of topics from interpreting the rationale for and legacy of Qing practices of collective punishment confession at trial and bureaucratic supervision to assessing the political and cultural forces that continue to limit the authority of formal legal institutions in the People's Republic of China

Guide to International Legal Research, 2009

Business Laws in Korea Ch'an Jin Kim, 1988

The Mandate of Heaven and The Great Ming Code (Asian Law Series) Yonglin Jiang, 2011 This companion volume to Jiang Yonglin's translation of *The Great Ming Code* 2005 analyzes the thought underlying the imperial legal code Was the concept of the Mandate of Heaven merely a tool manipulated by the ruling elite to justify state power or was it essential to their belief system and to the intellectual foundation of legal culture What role did law play in the imperial effort to carry out the social reform programs

Antitrust in Germany and Japan John O. Haley, 2017-05 *Antitrust in Germany and Japan* presents an innovative comparative analysis of the development and enforcement of two antitrust regimes illustrating how each was shaped by American occupation strategies and policies following World War II First imposed in 1947 the antitrust controls in Germany and Japan were the world's first outside the United States Those enacted in Japan continue in force whereas in Germany following a decade of debate the occupation legislation was superseded in 1975 by the Law Against Restraints of Competition This study explores the ironies and errors

that led to the enactment of the German and Japanese statutes and emphasizes the unexpected degree of convergence that has occurred during the past fifty years through amendment and practice. It compares in detail the institutional structure and processes for the enforcement of antitrust controls as well as the system of remedies and sanctions available under each statute. It notes the debates in Germany and Japan over the effectiveness of statutes, particularly the still timely debate in 1970s Germany over a proposal for criminal sanctions. Antitrust in Germany and Japan reveals many unexpected and controversial similarities between the two antitrust regimes and demonstrates the extent to which American policy toward Germany determined American policy in Japan not only during presurrender planning but also throughout the occupation. It also challenges the prevailing view of the relative strength of antitrust controls in Germany relative to the weakness of antitrust in Japan. This book will be of interest to corporate lawyers as well as to legal historians and scholars of political economy.

The Bond Markets Of Developing East Asia Robert F Emery, 2018-02-23. This comprehensive book provides detailed descriptions and analysis of the bond markets in eight East Asian developing economies: Hong Kong, Indonesia, South Korea, Malaysia, the Philippines, Singapore, Taiwan, and Thailand. Drawing on the most recent data available, Emery assesses the strengths and weaknesses of each country's bond market, concluding with comparisons of the eight countries' bond markets. The book will be an invaluable reference for economists, policymakers, and all those interested in emerging capital markets. Drawing on the most recent data available, this comprehensive book provides detailed descriptions and analysis of the bond markets in eight East Asian developing economies: Hong Kong, Indonesia, South Korea, Malaysia, the Philippines, Singapore, Taiwan, and Thailand. Robert Emery devotes a full chapter to evaluating the strengths and weaknesses of each country's bond market. For each country, Emery describes the history of the bond market and identifies its key participants, the different types of bonds, and the current terms for bond issues. He also discusses the size of the bond market, the bond issuing process, trading procedures, and the structure of the market, the clearing and settlement system, and fees and taxes. Finally, he lists the regulators of the market, current regulations, and bond rating agencies, assesses the market's past performance, and offers suggestions for improvement. Each country chapter is organized into a standard format, making it easy for the reader to locate and compare specific information. Emery concludes the book with cross-country comparisons of the eight countries' bond markets. It will be an invaluable reference for economists, policymakers, and all those interested in emerging capital markets.

Legal Reform in Taiwan under Japanese Colonial Rule, 1895-1945 Tay-sheng Wang, 2014-12-01. Taiwan's modern legal system, quite different from those of both traditional China and the People's Republic, has evolved since the advent of Japanese rule in 1895. Japan has gradually adopted Western law during the 19th century, and when it occupied Taiwan, a frontier society composed of Han Chinese settlers, its codes were instituted for the purpose of rapidly assimilating the Taiwanese people into Japanese society. Tay-sheng Wang's comprehensive study lays a solid foundation for future analyses of Taiwanese law. It documents how Western traditions influenced the formation of Taiwan's modern legal structure through the

conduit of Japanese colonial rule and demonstrates the extent to which legal concepts diverged from the Chinese legal tradition and moved toward Western law *The Economic Contract Law of China* Pitman B. Potter, 2016-06-01 The Economic Contract Law of China Legitimation and Contract Autonomy in the PRC **Making Capitalism** Roger L. Janelli, Dawnhee Yim, 1995-03-01 This pathbreaking work extends the boundaries of contemporary anthropological research by presenting in one cohesive meticulously researched work an original theoretical perspective on the relationships between the cultural political and economic dimensions of a large modern business organization the first anthropological work on South Korean management and its white collar workers in a case study of one of South Korea's big four conglomerates and an innovative delineation of how modern business practices are enmeshed in past and present structure and agency and local and international systems Based largely on the author's nine months of participant observation in the offices of one of South Korea's largest conglomerates with annual sales of about 15 billion and approximately 80 000 employees the book is also enriched by the author's previous fieldwork in rural Korea where many of the conglomerate's white collar personnel spent their formative years These vantage points are used to explore constructions of traditional Korean culture and transformations of cultural knowledge prompted by new political economic conditions and how both inform practices prevailing in the large conglomerates and ultimately shape South Korea's capitalism The work focuses on South Korea's new middle class It explains how office workers' identities and often contradictory interests present them with choices between alternative interpretations and actions affecting both themselves and their conglomerates Much attention is paid to ideological and more coercive means of controlling white collar employees to subordinates strategies of resistance and to ways in which cultural understandings and moral claims inform the assessment and pursuit of material advantage *Korean Law Study Guide* Chin Kim, 1995 **True Crimes in Eighteenth-Century China** Robert E. Hegel, 2011-12-01 The little examined genre of legal case narratives is represented in this fascinating volume the first collection translated into English of criminal cases most involving homicide from late imperial China These true stories of crimes of passion family conflict neighborhood feuds gang violence and sedition are a treasure trove of information about social relations and legal procedure Each narrative describes circumstances leading up to a crime and its discovery the appearance of the crime scene and the body the apparent cause of death speculation about motives and premeditation and whether self defense was involved Detailed testimony is included from the accused and from witnesses family members and neighbors as well as summaries and opinions from local magistrates their coroners and other officials higher up the chain of judicial review Officials explain which law in the Qing dynasty legal code was violated which corresponding punishment was appropriate and whether the sentence was eligible for reduction These records began as reports from magistrates on homicide cases within their jurisdiction that were required by law to be tried first at the county level then reviewed by judicial officials at the prefectural provincial and national levels with each administrator adding his own observations to the file Each case was decided finally in

Beijing in the name of the emperor if not by the monarch himself before sentences could be carried out and the records permanently filed All of the cases translated here are from the Qing imperial copies most of which are now housed in the First Historical Archives Beijing

East Asian Finance Swati R. Ghosh, 2006-01-01 This study analyzes the key issues and constraints in terms of efficiency access and safety and soundness faced by East Asian countries in developing their financial markets which are at different stages of development drawing on global experience The study takes stock of the initiatives being undertaken at the regional level to foster greater financial integration as a means of deepening and diversifying financial markets and on the policy issues that need to be addressed at the domestic level to deepen and diversify financial markets and to actually benefit from the actions that are being taken at the regional level

Order and Discipline in China Thomas B. Stephens, 2016-06-01 China's traditional system of dispute resolution and maintenance of order in society has been treated by Western scholars as legal history but because the Chinese system is radically different from European systems in its conceptual structure and therefore does not fit into the familiar categories and models of Western law and jurisprudence such treatment has been inadequate and often misleading In *Order and Discipline in China* Thomas B Stephens provides a new approach methodology and theoretical framework for the interpretation of traditional Chinese law Stephens argues convincingly that Chinese society has always operated according to the disciplinary system of order in which hierarchy is established by actual power and he provides a thorough methodology and framework for understanding disciplinary theory He discusses the system showing it not the random or even unjust tyranny it may sometimes appear to the Western legally oriented mind but an effective system that successfully guided China for centuries The study is not merely historical but provides insights into Chinese ways of thinking about social relationships dispute resolution and the enforcement of civil obligations that are vital to intercultural understanding today His study is based on the activities of the Mixed Court of the International Settlement at Shanghai which dealt with legal problems concerning Chinese people within the representative or assessor The Mixed Court conventionally has been looked upon as a disciplinary tribunal enforcing a system of dispute resolution and the maintenance of social order upon the principles of disciplinary theory The Mixed Court is a convenient point from which to measure the legal and disciplinary systems against each other and to study them in conflict Although Western powers tried to interpret the court in legal terms it responds much more convincingly to analysis according to the disciplinary system it provided its right to rule by the ability to enforce its decisions and it decided cases not as claimed by Chinese laws which actually did not exist but according to those principles established by the Western consuls *Order and Discipline in China* will be of interest not only to legal scholars and students of Chinese history and society but also to students of social order and international relations throughout the world It also offers practical assistance to Westerners dealing with Chinese business relations social and political affairs or dispute settlement

Korean Journal of Comparative Law ,1981 *Bowker's Law Books and Serials in Print* ,1995 **Global Markets, Domestic Institutions**

Curtis J. Milhaupt, 2003 Investors and managers now routinely face counterparts who operate within different legal systems and who do not share similar social priorities This tension between global markets and domestic institutions fuels the debate on corporate governance reform it also frames the debate in this volume *OECD Investment Policy Reviews: China 2006 Open Policies towards Mergers and Acquisitions* OECD, 2006-04-17 In reviewing developments since 2003 the this review evaluates the progress made in developing an effective institutional framework for cross border M A in China takes stock of remaining obstacles and offers policy options to address them The ABA Guide to International Business Negotiations James R. Silkenat, Jeffrey M. Aresty, Jacqueline Klosek, 2009 This book provides fundamental strategies every lawyer should know before going into e commerce based international negotiations including How to build trust in negotiations while using internet communications technologies Negotiating with governments Cultural background and overviews of legal systems for specific countries Substantive laws regulations which impact negotiations Special comments on use of internet technology in negotiations Negotiating across cultures in the digital age Current issues in negotiating business agreements online Online alternative dispute resolution China's Legal System Donald C. Clarke, 2008-03-06 Examines the legal ramifications of economic and social changes in China mid 1990s to present

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