

*...of value, protection and self-control built. It makes a convincing case that success comes from the application of moral principles of professionalism. —David H. Maister, author of *The Professionalism* and co-author of *The Trustful Advisor**

# The **PROFESSIONAL** Financial Advisor

**Ethics, Unbundling and Other Things  
to Ask Your Financial Advisor About**



**John J. De Goey**

MPA, CIM, FCSI, CFP®

# Professional Financial Advisor Ethics Unbundling And Other Things You Should Ask Your Financial Advisor

**John De Goey, John J. De Goey**



## **Professional Financial Advisor Ethics Unbundling And Other Things You Should Ask Your Financial Advisor:**

**The Professional Financial Advisor** John De Goey, John J. De Goey, 2003 The financial services industry is in the midst of a transformation from a commission based industry to a bona fide profession A number of changes are accelerating this transformation an ongoing transition to fee based advice a crying need for consumer protection and a growing consensus that credentials and training are required More change is to come John J De Goey argues that consumers have grown justifiably sceptical about the motives of their advisors and the firms they work for Unless additional sweeping changes occur that lost confidence may never be restored This book makes complex issues understandable for consumers and simultaneously acts as a wake up call for less professional advisors to pull up their bootstraps or risk being left behind De Goey s logic clearly demonstrates that the industry has a lot of explaining to do Consumers advisors the media regulators and politicians will have a role to play in carving out a better way of delivering competent credible and independent financial advice in the future

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## **Profebional Financial Advisor Ethics Unbundling And Other Things You Should Ask Your Financial Advisor** Book Review: Unveiling the Magic of Language

In an electronic era where connections and knowledge reign supreme, the enchanting power of language has be apparent than ever. Its capability to stir emotions, provoke thought, and instigate transformation is really remarkable. This extraordinary book, aptly titled "**Profebional Financial Advisor Ethics Unbundling And Other Things You Should Ask Your Financial Advisor**," published by a very acclaimed author, immerses readers in a captivating exploration of the significance of language and its profound effect on our existence. Throughout this critique, we shall delve into the book is central themes, evaluate its unique writing style, and assess its overall influence on its readership.

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