



# General Securities Representative Exam

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**KAPLAN** FINANCIAL

# Series 7 General Securities Study Manual

**Matthew Bowling**



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**McCaulay's Series 7 Practice Exams and Study Guide for the General Securities Representative Qualification Examination** Philip Martin McCaulay,2014-02-18 This book will help investment professionals pass the Series 7 General Securities Representative Qualification Examination McCaulay s Series 7 contains ten 125 question practice exams followed by a study outline The sample questions are the type that are most likely to appear on the Series 7 test and are in the same format as those on the exam The questions are straightforward multiple choice questions with four choices and one best answer Part I of this book contains the ten sample exams with a total of 1 250 questions Each 125 question practice test is followed by the answer key After the answer key each practice exam is repeated with the answers shown and the formulas for the math questions Part II of this book has a study guide in outline form There is a section for each topic covered on the Series 7 exam including regulatory requirements customer s profile customer accounts business conduct orders and transactions ethics the primary marketplace the secondary market economics analysis equity securities debt securities packaged securities options retirement plans and savings accounts The study outline includes details on the math formulas needed for the test The study outline is based on the exam syllabus and contains the information on the required knowledge areas that could most likely be asked in the form of a multiple choice question      **FINRA SERIES 7 Study Guide**

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